

Transforming Cycle Rewriting into String Rewriting

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A **cycle** is a string in which the start and end are connected.

b a b c b a b b b a c c







Cycle rewriting \hookrightarrow







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- T: thinking philosopher
- F: fork
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- E: eating philosopher

- $\mathsf{T}\,\mathsf{F} \ \rightarrow \ \mathsf{L} \qquad (\mathsf{pick} \ \mathsf{up} \ \mathsf{left} \ \mathsf{fork})$
- $FL \rightarrow E$ (pick up right fork and eat)
- $\mathsf{E} \quad \rightarrow \quad \mathsf{FTF} \quad (\mathsf{stop \ eating \ and \ put \ down \ forks})$



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Let Σ be an alphabet, R be an SRS over Σ

- $u \sim v$ = strings $u, v \in \Sigma^*$ represent the same cycle: $u \sim v$ iff $\exists w_1, w_2 : u = w_1 w_2$ and $v = w_2 w_1$
- cycle [u] = equivalence class of string u w.r.t. \sim
- cycle rewrite relation $\hookrightarrow_R \subseteq (\Sigma/\sim \times \Sigma/\sim)$ of R:

 $[u] \hookrightarrow_R [v] \text{ iff } \exists w \in \Sigma^* : u \sim \ell w, (\ell \to r) \in R, \text{ and } rw \sim v$



• $\odot \rightarrow_R$ is **non-terminating** iff there exists an infinite sequence

$$[u_0] \odot R [u_1] \odot R [u_2] \odot R \cdots$$

• Otherwise, \hookrightarrow_R is terminating.



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- Otherwise, \hookrightarrow_R is terminating.
- Cycle-termination is different from string-termination:

for
$$R = \{ab \to ba\}$$

- $\bullet \rightarrow_R$ is terminating, but
- \hookrightarrow_R is non-terminating
- But non-termination of \rightarrow_R implies non-termination of $\odot \rightarrow_R$



Termination techniques

- arctic and tropical matrix interpretations based on type-graphs
- implemented in torpacyc, iteratively removes rewrite rules using relative termination
- technique can only remove rules which are applied at most polynomially often in any derivation



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Complexity

Transformation ϕ on SRSs R ("string rewriting \rightarrow cycle rewriting") s.t.

 \rightarrow_R is string-terminating $\iff \rightarrow_{\phi(R)}$ is cycle-terminating

Consequences:

- proving cycle-termination is at least as hard as string-termination
- proving cycle-termination is undecidable

Transformational approach

- I reduce cycle-termination to string-termination
- 2 apply state-of-the-art ATPs to prove string-termination

required: transformation ψ : "cycle rewriting \rightarrow string rewriting" which is **sound**: $\rightarrow_{\psi(R)}$ is string-terminating $\implies \rightarrow_R$ is cycle-terminating **complete**: \rightarrow_R is cycle-terminating $\implies \rightarrow_{\psi(R)}$ is string-terminating We provide three sound and complete transformations split, rotate, shift

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Trace-decreasing matrix interpreations

- following a suggestion of Johannes Waldmann
- extend the matrix interpretations from [Zantema,König,Bruggink 2014,RTA]



For a cycle rewrite step $[u_1] \circ \rightarrow_{\{\ell \to r\}} [u_2]$ and $v_1 \in [u_1]$



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• case 1: $v_1 \rightarrow_{\{\ell \rightarrow r\}} v_2$ where $v_2 \in [u_2]$





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• case 2: we can split $\ell = \ell_A \ell_B$ s.t.

$$v_1 = \ell_B u \ell_A \to_{\{\ell_B \to \varepsilon\}} u \ell_A \to_{\{\ell_A \to r\}} ur$$
 where $ur \in [u_2]$





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Naive (but sound) transformation:

- Add all rewrite rules $(\ell \rightarrow r)$
- Add all splitting rules $(\ell_A \to r)$ and $(\ell_B \to \varepsilon)$ for $\ell = \ell_A \ell_B$
- ⇒ results in **non-terminating SRSs** in most of the cases (i.e. whenever r contains some prefix ℓ_A)



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Requirements for a better transformation (and for completeness)

- ensure that split rules are only applied to a prefix or a suffix, resp.
 ⇒ surround the string by fresh begin symbol B and end symbol E
- synchronize the application of the prefix and the suffix rewrite step \Rightarrow use fresh symbols \overline{a} for $a \in \Sigma$, and W, L and $R_{i,j}$



Definition of the transformation split(.)

For an SRS R over alphabet Σ , the SRS split(R) over $\Sigma_{split} = \Sigma \cup \overline{\Sigma} \cup \{B, E, L, W, R_{i,j}\}$ is constructed as follows:

• Let
$$(\ell \to r) \in R$$
 be the i^{th} rule of R :

- add rule $\ell \to r$ (for case 1)
- for every splitting $\ell = \ell_A \ell_B$ with $|\ell_A| = j$, add the rules:

$B\ell_B$	$\rightarrow WR_{i,j}$	(prefix rewrite step)
$R_{i,j}a$	$\rightarrow \overline{a} R_{i,j}$	(synchronize, shift $R_{i,j}$ in front of the suffix)
$R_{i,j} \ell_A E$	$\rightarrow LrE$	(suffix rewrite step)

- add rules $\overline{a}L \rightarrow La$ for all $a \in \Sigma$ (clean up)
- add rule $WL \rightarrow B$ (finish)



Theorem

The transformation split is sound and complete, i.e. $\rightarrow_{\text{split}(R)}$ is string-terminating iff \rightarrow_R is cycle-terminating.

• Soundness follows by construction:

$$[u] \hookrightarrow_R [v] \implies \mathsf{B}u\mathsf{E} \to^+_{\mathsf{split}(R)} \mathsf{B}v'\mathsf{E}$$
 where $v' \sim v$

• Completeness can be shown by

- type introduction [Zantema 1994, JSC]
- $\bullet~$ a mapping $\Phi::\Sigma^*_{\mathsf{split}}\to\Sigma^*$ with

 $\forall u :: T: \ u \to_{\mathsf{split}(R)} u' \implies [\Phi(u)] \odot^*_R [\Phi(u')]$

Trace-Decreasing Matrix Interpretations



- $M_d :=$ all $d \times d$ matrices A over \mathbb{N} s.t. $A_{11} > 0$
- for $A, B \in M_d$,

$$\begin{array}{ll} A > B & \Longleftrightarrow & A_{11} > B_{11} \land \forall i, j : A_{ij} \ge B_{ij} \\ A \ge B & \Longleftrightarrow & \forall i, j : A_{ij} \ge B_{ij} \end{array}$$



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• a matrix interpretation $\langle \cdot \rangle : \Sigma \to M_d$ is extended to strings as

$$\langle \varepsilon \rangle = I \quad \text{and} \quad \langle ua \rangle = \langle u \rangle \times \langle a \rangle \text{ for all } u \in \Sigma^* \text{, } a \in \Sigma$$

where I is the identity matrix, \times is matrix multiplication



Theorem

Let $R' \subseteq R$ be SRSs over Σ and let $\langle \cdot \rangle : \Sigma \to M_d$ such that

- $\hookrightarrow_{R'}$ is terminating,
- $\langle \ell \rangle \geq \langle r \rangle$ for all $(\ell \to r) \in R'$, and
- $\bullet \ \langle \ell \rangle > \langle r \rangle \text{ for all } (\ell \to r) \in R \setminus R'.$

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Proof: The main observations are

- trace($\langle a \rangle \times \langle u \rangle$) = trace($\langle u \rangle \times \langle a \rangle$) and thus trace($\langle u \rangle$) = trace($\langle v \rangle$) if $u \sim v$
- >, \geq are stable w.r.t ×, and thus $\langle \ell \rangle > \langle r \rangle \implies \langle \ell w \rangle > \langle r w \rangle$
- $[u] \hookrightarrow_{R'} [v] \implies \mathsf{trace}(\langle u \rangle) \ge \mathsf{trace}(\langle v \rangle)$, and
- $\bullet \ [u] \hookrightarrow_{R \setminus R'} [v] \implies \mathsf{trace}(\langle u \rangle) > \mathsf{trace}(\langle v \rangle)$



Trace-decreasing matrix interpretations

- can remove rules which are applied exponentially often (improves [Zantema, König, Bruggink 2014,RTA])
- impossible to remove rules which are applied more often



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Example (adapted from [Hofbauer and Waldmann 2006,RTA])

$$\begin{aligned} \mathcal{R} &:= & \phi(\{ab \rightarrow bca, cb \rightarrow bbc\}) \\ &= & \{RE \rightarrow LE, aL \rightarrow La', bL \rightarrow Lb', cL \rightarrow Lc', Ra' \rightarrow aR, \\ & Rb' \rightarrow bR, Rc' \rightarrow cR, abL \rightarrow bcaR, cbL \rightarrow bbcR\} \end{aligned}$$

- has cycle rewrite derivations where the number of rule applications is a tower of exponentials for each rule
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- has cycle rewrite derivations where the number of rule applications is a tower of exponentials for each rule
- **impossible** to prove cycle termination by trace-decreasing matrix interpretations
- \bullet but AProVE proves string termination of $\mathsf{split}(\mathcal{R})$
 - \Rightarrow transformational approach succeeds

Experiments



Techniques

- torpacyc: trace decreasing matrix interpretations
- transformations split, rotate, shift with AProVE and T_TT_2
- combination 1: first torpacyc then transformation split
- combination 2: like combination 1, but first string-nontermination check by AProVE, or T_TT_2 , resp.

Tools and webinterface available via

http://www.ki.cs.uni-frankfurt.de/research/cycsrs



Cycle Non-/Termination of TPDB/SRS-Standard



GOETHI

Cycle Non-/Termination of 50000 Random SRS



50000 randomly generated problems

- of size 12 with $|\Sigma| = 3$
- no obviously nonterminating problems

Results

- rotate and shift show termination of 74 % of the problems
- torpacyc and split show termination of 94 % of the problems
- In total (combining all results):





- new techniques to prove cycle termination
- three **sound and complete transformations** from cycle into string rewriting
- transformation split seems to be useful in practice
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Future work

- extend the benchmark problem set
- specific methods for cycle non-termination
- applications for cycle rewriting